

## Written Homework # 4 Solution

12/10/06

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You may use results from the book in Chapters 1–6 of the text, from notes found on our course web page, and results of the previous homework.

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1. **(20 total)** Let  $R$  be a ring with unity (identity). Show that every element of  $R$  is either a unit or a zero divisor if

(a) **(10)**  $R$  is finite or

**Solution:** Let  $0 \neq a \in R$ . Since  $R$  is finite the list  $1 = a^0, a, a^2, \dots$  must contain a repetition. Thus  $a^\ell = a^n$  for some  $0 \leq \ell < n$ . We may assume that  $n$  is the smallest such integer. Note that  $n - 1 \geq 0$ .

Suppose  $\ell = 0$ . Then  $1 = a^0 = a^n = aa^{n-1} = a^{n-1}a$  which means  $a^{n-1}$  is an inverse for  $a$ .

Suppose  $\ell > 0$ . Then  $0 \leq \ell - 1 < n - 1$  and we deduce  $0 = a(a^{n-1} - a^{\ell-1})$  from  $a^\ell = a^n$ . But  $a^{\ell-1} \neq a^{n-1}$  by the minimality of  $n$ ; thus  $a^{n-1} - a^{\ell-1} \neq 0$ . We have shown that  $a$  is a zero divisor. (Note that  $0 = (a^{n-1} - a^{\ell-1})a$  also.)

(b) **(10)**  $R = M_n(k)$ , where  $k$  is a field.

**Solution:** Let  $0 \neq a \in R$ . Since  $R$  is finite-dimensional the set of vectors  $\{1 = a^0, a, a^2, \dots\}$  can not be independent. Since  $1 \neq 0$  there is an  $n > 0$  such that  $\{1, \dots, a^{n-1}\}$  is independent and  $\{1, a, \dots, a^n\}$  is dependent. In particular

$$\alpha_0 1 + \dots + \alpha_n a^n = 0,$$

where  $\alpha_0, \dots, \alpha_n \in k$  and  $\alpha_n \neq 0$ .

Suppose that  $\alpha_0 \neq 0$ . Since  $n - 1 \geq 0$  we can write

$$a(-\alpha_0^{-1}(\alpha_1 1 + \dots + \alpha_n a^{n-1})) = 1 = (-\alpha_0^{-1}(\alpha_1 1 + \dots + \alpha_n a^{n-1}))a.$$

Thus  $a$  has an inverse.

Suppose that  $\alpha_0 = 0$ . Then  $a(\alpha_1 1 + \dots + \alpha_n a^{n-1}) = 0$ . Since  $\{1, \dots, a^{n-1}\}$  is independent and  $\alpha_n \neq 0$ ,  $\alpha_1 1 + \dots + \alpha_n a^{n-1} \neq 0$ . We have shown that  $a$  is a zero divisor. (Note that  $(\alpha_1 1 + \dots + \alpha_n a^{n-1})a = 0$  also.)

[Hint: Let  $a \in R$  and consider the sequence  $1, a, a^2, a^3, \dots$ , noting that its terms belong to a finite set or a finite-dimensional vector space.]

2. **(20 total)** Let  $R$  be a commutative ring with unity and let  $N$  be the set of nilpotent elements of  $R$ .

- (a) **(8)** Show that  $N$  is an ideal of  $R$ . [Hint: Let  $a, b \in R$ . You may assume that the binomial theorem holds for  $a, b$  and that  $(ab)^n = a^n b^n$  for all  $n \geq 0$ .]

**Solution:**  $0 \in N$  since  $0^1 = 0$ . Thus  $N \neq \emptyset$ . Suppose that  $a \in N$  and  $r \in R$ . Since  $a^n = 0$  for some  $n > 0$ , the calculation  $(ra)^n = r^n a^n = r^n 0 = 0$  shows that  $ar = ra \in N$ . It remains to show that  $N$  is an additive subgroup of  $R$ .

Suppose  $b \in N$  also. Then  $b^m = 0$  for some  $m > 0$ . Now  $n + m - 1 \geq 1$  since  $n, m \geq 1$ . By the binomial theorem

$$(a - b)^{n+m-1} = (a + (-b))^{n+m-1} = \sum_{\ell=0}^{n+m-1} C_{n+m-1, \ell} (-1)^\ell a^{n+m-1-\ell} b^\ell,$$

where  $C_{n+m-1, \ell}$  is some integer (binomial coefficient).

If  $0 \leq \ell < m$  then  $n+m-1-\ell > n-1$  which implies  $n+m-1-\ell \geq n$ . Thus in any event  $a^{n+m-1-\ell} = 0$  (when  $0 \leq \ell < m$ ) or  $b^\ell = 0$  (when  $m \leq \ell \leq n+m-1$ .) Therefore  $(a - b)^{n+m-1} = 0$ . We have shown  $a - b \in N$ ; thus  $N$  is an additive subgroup of  $R$ .

- (b) **(7)** Let  $U = \{1 + n \mid n \in N\}$ . Show that  $U \trianglelefteq R^\times$ . [Hint: Show that  $U = \{1 - n \mid n \in N\}$  also. If  $n^\ell = 0$  then  $1 - n^\ell = 1$ .]

**Solution:** To show  $U \trianglelefteq R^\times$  we need only show  $U \leq R^\times$  since  $R$  is commutative.  $1 \in U$  since  $1 = 1 + 0$ . Suppose that  $u, u' \in U$ . Then  $u = 1+n$  and  $u' = 1+n'$  for some  $n, n' \in N$ . Thus  $uu' = (1+n)(1+n') = 1 + (n' + n + nn')$ . Since  $N$  is an ideal (subring)  $n' + n + nn' \in N$ . Therefore  $uu' \in U$ .

Now  $n^\ell = 0$  for some  $\ell > 0$ . Since  $n^{\ell+1} = 0$  we may assume  $\ell \geq 2$ . Thus  $(-n)^\ell = (-1)^\ell n^\ell = (-1)^\ell 0 = 0$ . Since  $n = -(-n)$ , and  $R$  is commutative, the calculation

$$(1 - (-n))(1 + (-n) + (-n)^2 + \cdots + (-n)^{\ell-1}) = 1 - (-n)^\ell = 1$$

shows that  $1+n$  has an inverse in  $R$  which is  $1 - n + n^2 - \cdots + (-1)^{\ell-1} n^{\ell-1}$ . Now  $-n + n^2 - \cdots + (-1)^{\ell-1} n^{\ell-1} \in N$  since  $N$  is a subring of  $R$ . Therefore  $u^{-1} \in U$ .

- (c) (5) Find a ring with unity whose set of nilpotent elements is *not* an ideal. Justify your answer. [Hint: Consider  $M_2(k)$  where  $k$  is a field.]

**Solution:** (5) Let  $A = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  and  $B = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ . Then  $A, B \in N$  since  $A^2 = 0 = B^2$ , and  $A + B = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Since  $(A + B)^2 = I$  the sum  $A+B$  can not be nilpotent as  $(A+B)^n = 0$  implies  $0 = (A+B)^{2n} = ((A+B)^2)^n = I^n = I$ , a contradiction. Thus  $N$  is not closed under addition, so  $N$  is not an ideal.

Another example. same  $A$ . Let  $C = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}$ . Then  $AC = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}$  and  $(AC)^2 = AC$ . Thus  $0 \neq AC = (AC)^n$  for all  $n > 0$ . Therefore  $AC \notin N$  which means that  $N$  is not an ideal.

*Comment:* For our examples  $k$  could be *any* commutative ring with unity. Why  $k$  a field? Two by two matrices over the real numbers is a very familiar object to explore.

3. (20 total) Let  $R$  be a commutative ring with unity and set  $\mathcal{R} = R[[X]]$ .

- (a) (5) Show that  $f : \mathcal{R} \rightarrow R$  defined by  $f(\sum_{n=0}^{\infty} a_n X^n) = a_0$  is a ring homomorphism.

**Solution:** Follows directly from definitions

$$\begin{aligned}
 f\left(\sum_{n=0}^{\infty} a_n X^n + \sum_{n=0}^{\infty} b_n X^n\right) &= f\left(\sum_{n=0}^{\infty} (a_n + b_n) X^n\right) \\
 &= a_0 + b_0 \\
 &= f\left(\sum_{n=0}^{\infty} a_n X^n\right) + f\left(\sum_{n=0}^{\infty} b_n X^n\right)
 \end{aligned}$$

and

$$\begin{aligned}
 f\left(\left(\sum_{n=0}^{\infty} a_n X^n\right)\left(\sum_{n=0}^{\infty} b_n X^n\right)\right) &= f\left(\sum_{n=0}^{\infty} \left(\sum_{\ell=0}^n a_{n-\ell} b_\ell\right) X^n\right) \\
 &= \sum_{\ell=0}^0 a_{n-\ell} b_\ell \\
 &= a_0 b_0 \\
 &= f\left(\sum_{n=0}^{\infty} a_n X^n\right) f\left(\sum_{n=0}^{\infty} b_n X^n\right).
 \end{aligned}$$

Observe that  $f(1) = 1$ .

(b) **(10)** Show that  $\sum_{n=0}^{\infty} a_n X^n \in \mathcal{R}^\times$  if and only if  $a_0 \in R^\times$ .

**Solution:** Suppose  $A = \sum_{n=0}^{\infty} a_n X^n \in \mathcal{R}$  has inverse  $B \in \mathcal{R}$ . Then by part (a) we have  $1 = f(1) = f(AB) = f(A)f(B) = a_0 f(B)$ . Since  $R$  is commutative  $a_0$  has inverse  $f(B) \in R$ .

Conversely, suppose that  $a_0$  has an inverse in  $R$ . We wish to construct a power series inverse  $B = \sum_{n=0}^{\infty} b_n X^n$  for  $A = \sum_{n=0}^{\infty} a_n X^n$ . Since  $\mathcal{R}$  is commutative,  $B$  is an inverse for  $A$  if and only if

$$\sum_{\ell=0}^n a_{n-\ell} b_\ell = \begin{cases} 1 & n = 0 \\ 0 & n > 0 \end{cases} \quad (1)$$

since the identity element of  $\mathcal{R}$  is  $1 + 0X + 0X^2 + \dots$ . We can find  $b_0, b_1, \dots$  by induction. Our induction hypothesis is for  $m \geq 0$  that (1) is satisfied for  $0 \leq n \leq m$ .

When  $m = 0$  then  $n = 0$  and the equation to solve is  $a_0 b_0 = 1$ . This has a solution  $b_0 = a_0^{-1}$  since  $a_0$  has an inverse by assumption.

Suppose that  $m \geq 0$  and  $b_0, \dots, b_m$  satisfy (1) for  $0 \leq n \leq m$ . Then  $b_0, \dots, b_{m+1}$  satisfy (1) for all  $0 \leq n \leq m+1$  provided  $b_{m+1}$  satisfies

$$\sum_{\ell=0}^m a_{m+1-\ell} b_\ell + a_0 b_{m+1} = 0.$$

Setting  $b_{m+1} = -a_0^{-1}(\sum_{\ell=0}^m a_{m+1-\ell} b_\ell)$  does this.

- (c) **(5)** Show that  $\mathcal{R}$  is an integral domain if and only if  $R$  is an integral domain.

**Solution:** We may think of  $R$  as a subring of  $\mathcal{R}$  via the identification  $r \mapsto r + 0X + 0X^2 + \dots$ . This map is an injection of rings with unity. Thus if  $\mathcal{R}$  is an integral domain the subring  $R$  must be also.

Conversely, suppose that  $R$  is an integral domain. Since  $\mathcal{R}$  is a commutative ring with unity, we need only show that when  $f(X) = \sum_{n=0}^{\infty} a_n X^n$  and  $g(X) = \sum_{n=0}^{\infty} b_n X^n$  are not zero power series in  $\mathcal{R}$  then  $f(X)g(X)$  is not 0. Since  $f(X), g(X) \neq 0$ , each has a first non-zero coefficient  $a_r, b_s$  respectively. The coefficient of  $X^{r+s}$  in the product  $f(X)g(X)$  is

$$\sum_{\ell=0}^{r+s} a_{r+s-\ell} b_\ell = \sum_{\ell=s}^{r+s} a_{r+s-\ell} b_\ell = a_r b_s \neq 0$$

since  $s < \ell$  implies  $r+s-\ell < r$ . Thus  $f(X)g(X) \neq 0$ .

4. **(20 total)** Let  $R$  be ring with unity.

- (a) **(10)** Suppose that  $\mathcal{I}$  is a non-empty family of ideals of  $R$ . Show that  $J = \bigcap_{I \in \mathcal{I}} I$  is an ideal of  $R$ . (Since  $R$  is an ideal of  $R$ , it follows that any  $S$  subset of  $R$  is contained in a smallest ideal of  $R$ , namely the intersection of all ideals containing  $S$ . This ideal is denoted by  $(S)$  and is called the ideal of  $R$  generated by  $S$ .)

**Solution:** From group theory we know that  $J$  is an additive subgroup of  $R$ . Let  $a \in J$  and  $r \in R$ . Since  $a \in I$  for all  $I \in \mathcal{I}$ , and each  $I$  is an ideal,  $ra, ar \in I$  for all  $I \in \mathcal{I}$  and hence  $ra, ar \in J$ . Therefore  $J$  is an ideal of  $R$ .

*Comment:* No unity is required for part (a).

- (b) **(10)** Suppose that  $R$  is commutative and  $S = \{a_1, \dots, a_r\}$  is a finite subset of  $R$ . Show that

$$(S) = Ra_1 + \dots + Ra_r.$$

**Solution:** Suppose  $I$  is an ideal of  $R$  with  $S \subseteq I$ . Then  $ra_i \in I$  for all  $r \in R$ , and  $I$  is closed under sums. Therefore  $Ra_1 + \dots + Ra_r \subseteq I$ . This means  $Ra_1 + \dots + Ra_r \subseteq (S)$ .

Conversely,  $a = 1a$  for all  $a \in R$  shows that  $S \subseteq Ra_1 + \dots + Ra_r$ . To complete the proof we need only show that  $(S) \subseteq Ra_1 + \dots + Ra_r$ ; that is  $I = Ra_1 + \dots + Ra_r$  is an ideal of  $R$ .

As  $0 = 0a_1 + \dots + 0a_r$  it follows that  $I \neq \emptyset$ .

Let  $s_1a_1 + \dots + s_ra_r, s'_1a_1 + \dots + s'_ra_r \in I$ . Since  $R$  is commutative

$$(s_1a_1 + \dots + s_ra_r) - (s'_1a_1 + \dots + s'_ra_r) = (s_1 - s'_1)a_1 + \dots + (s_r - s'_r)a_r \in I.$$

Therefore  $I$  is an additive subgroup of  $R$ . For  $s \in R$  the calculation

$$s(s_1a_1 + \dots + s_ra_r) = (ss_1)a_1 + \dots + (ss_r)a_r \in I$$

shows that  $I$  is a left ideal of  $R$ . Since  $R$  is commutative,  $I$  is an ideal of  $R$ .

*Comment:* There is a better way of showing that  $I$  is an ideal from general principles. Show that  $Ra$  is a left ideal of any ring  $R$  for all  $a \in R$ . Show that the finite sum of left ideals of  $R$  is a left ideal of  $R$  by induction on the number; thus  $I$  is an ideal in our case since  $R$  is commutative.

5. **(20 total)** Let  $R$  be any ring with unity 1 and  $\mathcal{R} = M_n(R)$ . Let  $J$  be an ideal of  $R$ .

- (a) **(15)** Show that  $M_n(J)$  is an ideal of  $\mathcal{R}$  and all ideals of  $\mathcal{R}$  have this form.

**Solution:** First note that  $E_{ij}E_{k\ell} = \delta_{jk}E_{i\ell}$  for all  $1 \leq i, j, k, \ell \leq n$ .

Suppose that  $J$  is an ideal of  $R$  and set  $\mathcal{J} = M_n(J)$ . Then  $\mathcal{J} \neq \emptyset$  since  $J \neq \emptyset$ . For  $A = (A_{ij}), B = (B_{ij}) \in \mathcal{J}$  and  $C = (C_{ij}) \in \mathcal{R}$  we have

$$(A - B)_{ij} = A_{ij} - B_{ij}, (CA)_{ij} = \sum_{\ell=1}^n C_{i\ell}A_{\ell j}, (AC)_{ij} = \sum_{\ell=1}^n A_{i\ell}C_{\ell j} \in J$$

for all  $1 \leq i, j \leq n$  since  $J$  is an ideal of  $R$ . Thus  $\mathcal{J}$  is an ideal of  $\mathcal{R}$ .

Conversely, suppose that  $\mathcal{J}$  is an ideal of  $\mathcal{R}$ . Let  $A = \sum_{u,v=1}^n A_{uv}E_{uv} \in \mathcal{J}$ , where  $A_{uv} \in R$ . Since the elements of each  $E_{ij}$  are in the center of  $R$ , for all  $1 \leq j, k \leq n$  and  $1 \leq i, \ell \leq n$ , the calculation

$$E_{ij}AE_{k\ell} = \sum_{u,v=1}^n A_{uv}E_{ij}E_{uv}E_{k\ell} = \sum_{u=1}^n A_{uk}E_{ij}E_{u\ell}$$

shows that  $A_{jk}E_{i\ell} \in \mathcal{J}$ . Let  $J$  be the set of all elements of  $R$  which appear as an entry in some element of  $\mathcal{R}$ . We have shown that  $E_{ij}\mathcal{J}E_{k\ell} = JE_{i\ell}$ . Therefore, by adding,  $\mathcal{J} = M_n(J)$ . It remains to show that  $J$  is an ideal of  $R$ .

Since  $\mathcal{J} \neq \emptyset$  necessarily  $J \neq \emptyset$ . Suppose that  $a, b \in J$  and  $c \in R$ . Then  $aE_{11}, bE_{11} \in \mathcal{J}$  and the calculations

$$(a-b)E_{11} = aE_{11} - bE_{11}, caE_{11} = (cE_{11})(aE_{11}), acE_{11} = (aE_{11})(cE_{11}) \in \mathcal{J}$$

show that  $a - b, ca, ac \in J$ . Therefore  $J$  is an ideal of  $R$ .

(b) (5) Show that  $\mathcal{R}$  is simple if and only if  $R$  is simple.

**Solution:** By part (a) there is a bijective correspondence between the ideals of  $R$  and  $\mathcal{R} = M_n(R)$ . Thus  $R$  has 2 ideals if and only if  $\mathcal{R}$  has 2 ideals.

[Hint: For part (a) let  $E_{ij} \in M_n(R)$  be defined by  $(E_{ij})_{k\ell} = \delta_{i,k}\delta_{j,\ell}$ , where  $\delta_{u,v} = \begin{cases} 1 & : u = v \\ 0 & : u \neq v \end{cases}$ . Work out the formula for  $E_{ij}E_{k\ell}$ . Show that any  $A = (A_{uv}) \in M_n(R)$  can be written  $A = \sum_{u,v=1}^n A_{uv}E_{uv}$  and consider  $E_{ij}AE_{k\ell}$ .]

*Comment:* Note that “ideal” in the preceding exercise can not be replaced by “left ideal”. Take  $R = k$  to be a field and  $n \geq 2$ . Then  $R$  has 2 left ideals. For fixed  $1 \leq j \leq n$  all matrices with entries zero outside the  $j^{\text{th}}$  column form a left ideal of  $\mathcal{R}$ .