Index Theory and Non-Commutative Geometry.

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Families Index Theorem follows from commutative diagram:

$$\begin{array}{cccc} \mathrm{K}^{0}_{c}(N) & & \stackrel{f_{!}}{\longrightarrow} & \mathrm{K}^{0}(M)) \\ \mathrm{ch}(\cdot) \wedge \mathrm{Td}(f) & \downarrow & \downarrow & \mathrm{ch} \\ \mathrm{H}^{*}_{c}(N; \mathbf{R}) & & \stackrel{f_{**}}{\longrightarrow} & \mathrm{H}^{*}(M : \mathbf{R}). \end{array}$$

 $f: N \to M$  a K-oriented map, i.e.  $TN \oplus f^*TM$  has  $\text{Spin}^c$  structure.  $\text{Td}(f) = \text{Td}(TN)/\text{Td}(f^*(TM))$ .  $f_{**} = PD \circ f_* \circ PD, f_* : H_*(N; \mathbb{R}) \to H_*(M; \mathbb{R})$ . If f a submersion,  $f_{**} = \int$  over the fibers of f. We extend this to foliations.

M compact manifold, F oriented foliation. M/F = "space of leaves" of F.  $f : N \to M/F$  a K-oriented map.  $\mathcal{G}$  the holonomy groupoid of F.

**Theorem:** For k large, the diagram commutes

$$\begin{array}{cccc} \mathrm{K}^{0}_{c}(N) & & \stackrel{f_{!}}{\longrightarrow} & \mathrm{K}_{0}(C^{\infty}_{c}(\mathcal{G} \times \boldsymbol{R}^{2k})) \\ \mathrm{ch}(\cdot) \wedge \mathrm{Td}(f) & \downarrow & \downarrow & \mathrm{ch}_{a} \\ \mathrm{H}^{*}_{c}(N; \boldsymbol{R}) & & \stackrel{f_{**}}{\longrightarrow} & \mathrm{H}^{*}_{c}(M/F). \end{array}$$

 $f_{!}$  the Connes-Skandalis push forward map.  $H_{c}^{*}(M/F)$  Haefliger cohomology of F. ch<sub>a</sub> and  $f_{**}$  to be defined.

### Haefliger Cohomology

 $\mathcal{U} = \{U_i\}$  cover by foliation charts for F.  $T_i \subset U_i$  a transversal,  $T = \bigcup T_i$ , disjoint union.  $\Omega_c^k(T) = C^{\infty} k$  forms with compact support.  $\mathcal{H}_k$  = vector space generated by  $\alpha - h^* \alpha$ ,  $h \in$  holonomy pseudogroup and  $\alpha \in \Omega_c^k(T)$ .  $\Omega_c^k(F) = \Omega_c^k(T)/\mathcal{H}_k$ .  $d : \Omega_c^k(T) \to \Omega_c^{k+1}(T)$  induces  $d : \Omega_c^k(F) \to \Omega_c^{k+1}(F)$ . Construction independent of all choices.  $H_c^*(M/F) =$  cohomology of this complex. If F given by a fibration  $M \to B$ , then  $H_c^*(M/F) = H_c^*(B; \mathbf{R})$ .

## Integration over the fiber of F

$$\begin{split} &\int_{F}: \,\Omega^{p+k}(M) \to \Omega^{k}_{c}(F), \quad p \ = \ \dim F. \ \omega \in \Omega^{p+k}(M). \ \text{Write} \ \omega = \sum_{i} \omega_{i}, \ \text{where} \ \omega_{i} \in \Omega^{*}_{c}(U_{i}). \ \text{Integrate} \\ &\text{grate} \ \omega_{i} \ \text{along the fibers of} \ \pi_{i}: U_{i} \to T_{i}. \ \text{Get} \ \int_{\pi_{i}} \omega_{i} \in \Omega^{k}_{c}(T_{i}). \ \int_{F} \omega \ \equiv \ \text{class of} \ \sum_{i} \ \int_{\pi_{i}} \omega_{i}. \ \int_{F} \omega \in \Omega^{k}_{c}(F) \\ &\text{well defined;} \ \int_{F} \ \text{commutes with} \ d. \ \text{Get} \ \int_{F} : H^{p+k}(M) \to H^{k}_{c}(M/F). \end{split}$$

# Holonomy Graph $\mathcal{G}$ of F

 $\mathcal{G}$  = quivalence classes of leafwise paths in M. Paths equivalent if start at same point, end at same point, and have same holonomy.  $s,r: \mathcal{G} \to M$ :  $s([\gamma]) = \gamma(0), r([\gamma]) = \gamma(1)$ .  $F_s$  foliation of  $\mathcal{G}$ , leaves are  $\widetilde{L}_x = s^{-1}(x)$ .  $r: \widetilde{L}_x \to L_x$  is the holonomy cover of  $L_x$ .  $\mathcal{G}_0$  = units of  $\mathcal{G}$  = classes of constant paths.  $i: M \to \mathcal{G}, \quad i(x) =$ class of constant path at x.  $\mathcal{G}_0 = i(M)$ .  $C_c^{\infty}(\mathcal{G})$  is a non-commutative algebra with

product  $(f \cdot g)(\gamma) \equiv \int_{\widetilde{L}_{s(\gamma)}} f(\gamma \gamma_1^{-1}) g(\gamma_1) \, d\gamma_1. \ C_c^{\infty}(\mathcal{G})$  plays role of  $C_c^{\infty}(M/F).$ 

**Definition of**  $f_{**}$ . **Case 1:** f comes from  $f: N \to M$  transverse to F. f induces an oriented foliation  $F_N$  of N.  $F_N$  and F are locally transversely diffeomorphic, so get  $f_*: H^*_c(N/F_N) \to H^*_c(M/F)$ .

$$f_{**}: H_c^*(N; \mathbf{R}) \xrightarrow{\int_{F_N}} H_c^*(N/F_N) \xrightarrow{f_*} H_c^*(M/F)$$

**Case 2:** f locally in Case 1.  $f: N \to M/F$  is a  $\mathcal{G}$  valued cocycle  $(V_{\alpha}, f_{\alpha\beta})$ .  $\{V_{\alpha}\}$  locally finite open cover of N.  $f_{\alpha\beta}: V_{\alpha} \cap V_{\beta} \to \mathcal{G}$ .  $f_{\alpha\beta}(x)f_{\beta\gamma}(x) = f_{\alpha\gamma}(x) \implies f_{\alpha\alpha}: V_{\alpha} \to \mathcal{G}_0 = M$ . f a submersion if each  $f_{\alpha\alpha}$  transverse to F, i.e. if a submersion to "space of leaves" of F. If f a submersion, it induces an oriented foliation  $F_N$  of N.  $F_N$  and F are locally transversely diffeomorphic, so get  $f_*: H^*_c(N/F_N) \to H^*_c(M/F)$ .

$$f_{**}: H^*_c(N; \mathbf{R}) \xrightarrow{J_{F_N}} H^*_c(N/F_N) \xrightarrow{f_*} H^*_c(M/F)$$

**Case 3:** Arbitrary f. Construct a manifold W, and K-oriented maps  $i: N \to W$  and  $g: W \to M/F$ . g is a submersion, and  $f = g \circ i$ .

$$f_{**}: H_c^*(N; \mathbf{R}) \xrightarrow{\iota_{**}} H_c^*(W; \mathbf{R}) \xrightarrow{g_{**}} H_c^*(M/F)$$

**Definition of**  $\operatorname{ch}_a : K_0(C_c^{\infty}(\mathcal{G})) \to H_c^*(M/F).$ 

Choose connection  $\nabla : C^{\infty}(\mathcal{G}) \to C^{\infty}(T^*\mathcal{G})$ .  $\nu_s^* = \text{normal bundle of } F_s \simeq s^*(T^*M)$ . Projection  $T^*\mathcal{G} \to \nu_s^*$  gives partial connection  $\nabla^{\nu} : C^{\infty}(\mathcal{G}) \to C^{\infty}(\nu_s^*) \simeq C^{\infty}(s^*(T^*M)) \simeq C^{\infty}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^1(M)$ , so,

$$\nabla^{\nu}: C^{\infty}(\mathcal{G}) \to C^{\infty}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^{1}(M). \ \phi \in C^{\infty}_{c}(\mathcal{G}) \text{ acts on } C^{\infty}(\mathcal{G}) \text{ by: } \phi(g)([\gamma]) = \int_{\widetilde{L}_{s(\gamma)}} \phi(\gamma\gamma_{1}^{-1})g(\gamma_{1})d\gamma_{1}.$$

 $\phi$  is a  $C^{\infty}(M)$  equivariant smoothing operator. Extend  $\phi$  to act on  $C^{\infty}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^{*}(M)$ . Consider  $\partial_{\nu}(\phi) \equiv [\nabla^{\nu}, \phi]$ . Essentially a transverse deRham operator, i.e.  $\partial_{\nu}(\phi) \in C^{\infty}_{c}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^{1}(M)$ . Since  $\partial_{\nu}^{2} \neq 0$ , use Connes' X-construction to extend to  $\delta$  with  $\delta^{2} = 0$ . Want Tr :  $C^{\infty}_{c}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^{*}(M) \to \Omega^{*}_{c}(F)$ . Any  $K \in C^{\infty}_{c}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^{*}(M)$  is a smoothing operator on  $C^{\infty}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^{*}(M)$ . Schwartz kernel denoted  $K(\alpha, \beta)$ .

**Defn:**  $\operatorname{Tr}(K) \equiv \int_{F} K(\bar{x}, \bar{x}) dx \in \Omega_{c}^{*}(F)$ .  $\bar{x}$  is class of constant path at  $x \in M$ . Tr is a graded trace and  $\operatorname{Tr} \circ \delta = d \circ \operatorname{Tr}$ .

**Theorem:**  $B = [(e_1, \lambda_1)] - [(e_2, \lambda_2)] \in K_0(C_c^{\infty}(\mathcal{G}))$ , where  $(e_i, \lambda_i) \in M_N(C_c^{\infty}(\mathcal{G}) \oplus \mathbb{C})$  are idempotents. tr :  $M_N(C_c^{\infty}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^*(M)) \to C_c^{\infty}(\mathcal{G}) \otimes_{C^{\infty}(M)} \Omega^*(M)$  the usual trace. The Haefliger form

$$\operatorname{Tr} \circ \operatorname{tr} \left( e_1 \exp \left[ -(\delta e_1)^2 / 2i\pi \right] - e_2 \exp \left[ -(\delta e_2)^2 / 2i\pi \right] \right)$$

is closed, and its Haefliger cohomology class depends only on B.

 $ch_a(B)$  is the Haefliger class of this form.

#### The Connes-Skandalis push forward map

To define must replace M by  $M \times \mathbb{R}^{2k}$ ,  $\mathcal{G}$  by  $\mathcal{G} \times \mathbb{R}^{2k}$ , F by  $\widehat{F}$  on  $M \times \mathbb{R}^{2k}$ . Leaves of  $\widehat{F}$  are  $L \times \{x\}, x \in \mathbb{R}^{2k}$ . Details later. Get  $f_! : K_c^0(N) \to K_0(C_c^{\infty}(\mathcal{G} \times \mathbb{R}^{2k}))$ .

**Theorem:** Following diagram commutes

$$\begin{array}{cccc} \mathrm{K}^{0}_{c}(N) & & \stackrel{f_{!}}{\longrightarrow} & \mathrm{K}_{0}(C^{\infty}_{c}(\mathcal{G} \times \mathbf{R}^{2k})) \\ \mathrm{ch}(\cdot) \wedge \mathrm{Td}(f) & \downarrow & \downarrow & \mathrm{ch}_{a} \\ \mathrm{H}^{*}_{c}(N; \mathbf{R}) & & \stackrel{f_{**}}{\longrightarrow} & \mathrm{H}^{*}_{c}(M \times \mathbf{R}^{2k}/\widehat{F}). \end{array}$$

Proof uses naturalness of ch and Td to reduce to a complicated direct computation. As  $H_c^*(M \times \mathbf{R}^{2k}/\hat{F}) \simeq H_c^*(M/F \times \mathbf{R}^{2k}) \simeq H_c^*(M/F) \otimes H_c^*(\mathbf{R}^{2k}; \mathbf{R}) \simeq H_c^*(M/F)$ , we get the main theorem.

Foliation Index Theorem:  $\operatorname{Ind}_t : K_c^0(TF) \longrightarrow K_0(C_c^{\infty}(\mathcal{G} \times \mathbb{R}^{2k}))$  the Connes-Skandalis topological index map.  $\pi_{F_1} : H_c^*(TF) \longrightarrow H^*(M)$  integration over fibers. Then, for all  $u \in K_c^0(TF)$ ,

$$\operatorname{ch}_{a}(\operatorname{Ind}_{t}(u)) = (-1)^{p} \int_{F} \pi_{F!}(\operatorname{ch}(u)) \operatorname{Td}\left(TF \otimes \boldsymbol{C}\right), \text{ in } H^{*}_{c}(M/F).$$

Direct corollary of theorem above using classical results of Atiyah-Singer and Connes-Skandalis.

 $\begin{aligned} \operatorname{Ind}_{a}: K_{c}^{0}(TF) &\longrightarrow K_{0}(C_{c}^{\infty}(\mathcal{G})), \text{ the Connes-Skandalis analytic index map.} \\ B: K_{0}(C_{c}^{\infty}(\mathcal{G}) &\longrightarrow K_{0}(C_{c}^{\infty}(\mathcal{G} \times \mathbb{R}^{2k})), \text{ the Bott map. In general } B \circ \operatorname{Ind}_{a} \neq \operatorname{Ind}_{t}. \end{aligned}$  **Theorem:** For all  $u \in K_{c}^{0}(TF),$ 

 $\operatorname{ch}_{a}(\operatorname{Ind}_{t}(u)) = \operatorname{ch}_{a}(\operatorname{Ind}_{a}(u)).$ 

Proof depends on the deep extension theorem of Connes.

**Theorem:** Let E be an Hermitian bundle over a compact manifold M, and F a codimension q foliation of M with Hausdorff graph. Assume that F is even dimensional, oriented and spin. Assume further that the family of generalized leafwise Dirac operators  $D_E$  is regular near zero and that the strong Novikov-Shubin invariants of F are greater than q/2. Set P = projection onto ker  $D_E$ . Then

$$\operatorname{ch}_a(\operatorname{Ind}_a(D_E)) = \operatorname{ch}_a(P).$$

As  $\operatorname{ch}_a(\operatorname{Ind}_a(D_E)) = \operatorname{ch}_a(\operatorname{Ind}_t(D_E)) = \int_F \widehat{A}(TF)\operatorname{ch}(E)$ , and  $\operatorname{ch}_a(P)$  carries geometric information about F, this relates characteristic classes of F to its geometry.

Heitsch-Lazarov proved this for NS > 3q. Proof requires careful analysis of  $e^{-tD_E^2}$  as  $t \to \infty$ .

## **Regularity Near Zero**

 $D_E$  = family of Dirac operators (on  $\mathcal{G}$ !) along leaves of  $F_s$  associated to  $r^*(E)$ . P = projection onto ker  $D_E$ .  $P_{\epsilon}$  = spectral projection of  $D_E^2$  for  $(0, \epsilon)$ .

Assumption: both P and  $P_{\epsilon}$  (for sufficiently small  $\epsilon$ ) have smooth Schwartz kernels, (i.e. are smooth transversely) and their transverse derivatives define bounded smoothing operators along the leaves of  $F_s$ .

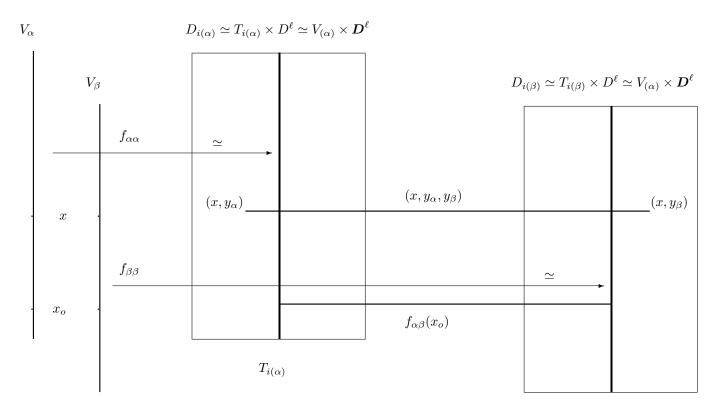
## Strong Novikov-Shubin invariants

 $K_{P_{\epsilon}}(\alpha,\beta) =$ Schwartz kernel of  $P_{\epsilon}$ .

Assumption:  $\operatorname{Tr}(K_{P_{\epsilon}}) = \mathcal{O}(\epsilon^{\beta})$  for  $\beta > q/2$  as  $\epsilon \to 0$ .

### The Connes-Skandalis map: details

Reduce to  $f: N \to M/F$  is **étale**, i.e.  $f_{\alpha\alpha}: V_{\alpha} \xrightarrow{\simeq} T_{i(\alpha)} \subset U_{i(\alpha)}$ . Must replace M by  $M \times \mathbb{R}^{2k}$ ,  $\mathcal{G}$  by  $\mathcal{G} \times \mathbb{R}^{2k}$ , F by  $\widehat{F}$  on  $M \times \mathbb{R}^{2k}$  with leaves  $L \times \{x\}, x \in \mathbb{R}^{2k}$ .  $\varrho: D_i \to T_i$  normal disc bundle in  $M \times \mathbb{R}^{2k}$ . Coordinates x on  $V_{\alpha}$  and  $y_{\alpha}$  on  $\mathbb{D}^{\ell}$ , give coordinates  $(x, y_{\alpha})$  on  $D_{i(\alpha)} \simeq T_{i(\alpha)} \times \mathbb{D}^{\ell} \simeq V_{(\alpha)} \times \mathbb{D}^{\ell}$ .  $U_{\alpha\beta} = \text{classes of paths } \gamma \text{ where } 1. \ s(\gamma) \in D_{i(\alpha)}, \text{ and } r(\gamma) \in D_{i(\beta)}, 2. \ \gamma \parallel f_{\alpha\beta}(x_o), \text{ where } x_o \in V_{\alpha} \cap V_{\beta}.$   $U_{\alpha\beta}$  charts on  $\mathcal{G} \times \mathbb{R}^{2k}$ , coords  $(x, y_{\alpha}, y_{\beta})$ .



 $T_{i(\beta)}$ 

Choose  $\psi : \mathbf{D}^{\ell} \to \mathbf{R}$  with compact support and  $\int_{\mathbf{D}^{\ell}} \psi^2 = 1$ , and  $\{\phi_{\alpha}\}$  a partition of unity on N subordinate to  $\{V_{\alpha}\}$ .

Define  $f_!: C_c^{\infty}(N) \to C_c^{\infty}(\mathcal{G} \times \mathbb{R}^{2k})$  as follows. For  $g \in C_c^{\infty}(N)$ ,  $f_!(g) = 0$  except on the  $U_{\alpha\beta}$ , where  $f_!(g)(x, y_{\alpha}, y_{\beta}) = g(x)\psi(y_{\alpha})\psi(y_{\beta})\sqrt{\phi_{\alpha}(x)\phi_{\beta}(x)}$ .

 $f_!$  is an algebra map, so get

$$f_!: K^0_c(N) \to K_0(C^\infty_c(\mathcal{G} \times \mathbf{R}^{2k})).$$