Some open problems in matchings in graphs

Shmuel Friedland Univ. Illinois at Chicago

BIRS, February 1, 2011

Overview

- Matchings in graphs
- Number of k-matchings in bipartite graphs as permanents and haffnians
- Upper bounds on permanents and haffnians: results and conjectures.
- Lower bounds on permanents and haffnians: results and conjectures.
- Probabilistic methods

Matchings

- G = (V, E) undirected graph with vertices V, edges E.
- matching in G: M ⊆ E
 no two edges in M share a common endpoint.
- $e = (u, v) \in M$ is dimer
- *v* not covered by *M* is monomer.
- M called monomer-dimer cover of G.
- M is perfect matching

 → no monomers.
- M is k-matching $\iff \#M = k$.

Generating matching polynomial

- $\phi(k, G)$ number of k-matchings in G, $\phi(0, G) := 1$
- $\Phi_G(x) := \sum_k \phi(k, G) x^k$ matching generating polyn.
- roots of $\Phi_G(x)$ are real nonpositive Heilmann-Lieb 1972. Newton inequalities hold
- $\Phi_{G_1 \cup G_2}(x) = \Phi_{G_1}(x) \Phi_{G_2}(x)$

Examples:

$$\Phi_{K_{2r}}(x) = \sum_{k=0}^{r} {2r \choose 2k} \frac{\prod_{j=0}^{k-1} {2k-2j \choose 2}}{k!} x^k = \sum_{k=0}^{r} \frac{(2r)!}{(2r-2k)!2^k k!} x^k
\Phi_{K_{r,r}}(x) = \sum_{k=0}^{r} {r \choose k}^2 k! x^k$$

 $\mathcal{G}(r,2n)\supset\mathcal{GB}(r,2n)$ set of r-regular and regular bipartite graphs on 2n vertices, respectively

$$qK_{r,r} \in \mathcal{GB}(r,2rq)$$
 a union of q copies of $K_{r,r}$.
 $\Phi_{qK_{r,r}} = \Phi_{K_{r,r}}^q$



Formulas for k-matchings in bipartite graphs

$$G = (V, E)$$
 bipartite $V = V_1 \cup V_2, E \subset V_1 \times V_2$, represented by bipartite adjacency matrix $B(G) = B = [b_{ij}]_{i,i=1}^{m \times n} \in \{0,1\}^{m \times n}, \#V_1 = m, V_2 = n$.

Example: Any subgraph of \mathbb{Z}^d is bipartite

CLAIM:
$$\phi(k, G) = \operatorname{perm}_k(B(G))$$
.

Prf: Suppose $n = \#V_1 = \#V_2$.

Then permutation $\sigma: \langle n \rangle \to \langle n \rangle$ is a perfect match iff $\prod_{i=1}^n b_{i\sigma(i)} = 1$.

The number of perfect matchings in G is $\phi(n, G) = \operatorname{perm} B(G)$.

Computing $\phi(n, G)$ is #P-complete problem Valiant 1979

For $G = (\langle 2n \rangle, E)$ bipartite $G \in \mathcal{GB}(r, 2n) \iff \frac{1}{r}B(G) \in \Omega_n \iff$ G is a disjoint (edge) union of r perfect matchings

Matching on nonbipartite graphs

$$G=(V,E), |V|=2n,$$
 $A(G)=[a_{ij}]\in S_0(2n,\{0,1\})$ - adjacency matrix of G

$$\phi(n,G) = \operatorname{haf}(A(G)) = \sum_{M \in \mathcal{M}(K_{2n})} \prod_{(i,j) \in M} a_{ij} \mathcal{M}(K_{2n})$$
 the set of perfect matchings in K_{2n}

$$\phi(k,G) = \operatorname{haf}_k(A(G)) = \sum_{M \in \mathcal{M}_k(K_{2n})} \prod_{(i,j) \in M} a_{ij}$$

 $\mathcal{M}_k(K_{2n})$ the set of k matchings in K_{2n}

Claim $perm(A(G)) \ge haf(A(G))^2$. Equality holds if G is bipartite.

Main problems

Find good estimates on

$$s_n(k,r) := \min_{G \in \mathcal{G}(r,2n)} \phi(k,G) \le t_n(k,r) := \min_{G \in \mathcal{GB}(r,2n)} \phi(k,G)$$

$$S_n(k,r) := \max_{G \in \mathcal{G}(r,2n)} \phi(k,G) \ge T_n(k,r) := \max_{G \in \mathcal{GB}(r,2n)} \phi(k,G)$$

Completely solved case r = 2 [7]

$$S_n(k,2) = T_n(k,2)$$
 achieved only for $G = nK_{2,2}$ or $G = mK_{2,2} \cup C_6$.

 $t_n(k,2)$ achieved only for C_{2n}

 $s_n(k,2)$ achieved only for mC_3 , $mC_3 \cup C_4$ or $mC_3 \cup C_5$.

The upper bound conjecture

$$S_{qr}(k,r) = T_{qr}(k,r) = \phi(k,qK_{r,r})$$

k = qr Follows from Bregman's inequality (see also [3]) perm $A \leq \prod_{i=1}^{n} (r_i!)^{\frac{1}{r_i}}$

$$A = [a_{ij}] \in \{0,1\}^{n \times n} \ r_i = \sum_{j=1}^n, i = 1, \dots, n$$

Egorichev-Alon-Friedland for G = (V, E), |V| = 2n

$$\phi(n,G) \leq \prod_{v \in V} (\deg(v)!)^{\frac{1}{2\deg(v)}}$$

Equality holds iff G a union of complete bipartite graphs

$$S_n(k,r) \le \binom{2n}{2k} (r!)^{\frac{k}{r}}$$

$$T_n(k,r) \le \min(\binom{n}{k}^2 (r!)^{\frac{k}{r}}, \binom{n}{k} r^k)$$

Friedland-Krop-Lundow-Markström [6]



Asymptotic versions

$$Sa(p,r) = \limsup_{n_{j} \to \infty, \frac{k_{j}}{n_{j}} \to p \in [0,1]} \frac{\log S_{n_{j}}(k_{j},r)}{2n_{j}}$$

$$Ta(p,r) = \limsup_{n_{j} \to \infty, \frac{k_{j}}{n_{j}} \to p \in [0,1]} \frac{\log T_{n_{j}}(k_{j},r)}{2n_{j}}$$

$$Sa(p,r) = \liminf_{n_{j} \to \infty, \frac{k_{j}}{n_{j}} \to p \in [0,1]} \frac{\log S_{n_{j}}(k_{j},r)}{2n_{j}}$$

$$ta(p,r) = \liminf_{n_{j} \to \infty, \frac{k_{j}}{n_{j}} \to p \in [0,1]} \frac{\log t_{n_{j}}(k_{j},r)}{2n_{j}}$$

Next slide gives the graphs of AUMC and the upper bounds for Ta(p, 4).

r = 4 upper bounds

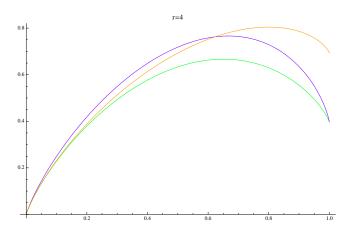


Figure: $h_{K(4)}$ -green, upp_{4,1}-blue, upp_{4,2}-orange

The lower bounds: Bipartite case

 $r^k \min_{C \in \Omega_n} \operatorname{perm}_k C \le \phi(k, G)$ for any $G \in \mathcal{GB}(r, 2n)$

 $J_n = B(K_{n,n}) = [1]$ the incidence matrix of the complete bipartite graph $K_{n,n}$ on 2n vertices

van der Waerden permanent conjecture 1926:

$$\min_{C \in \Omega_n} \operatorname{perm} C = \operatorname{perm} \frac{1}{n} J_n \ \big(= \frac{n!}{n^n} \approx \sqrt{2\pi n} \ e^{-n} \big)$$

Tverberg permanent conjecture 1963:

$$\min_{C \in \Omega_n} \operatorname{perm}_k C = \operatorname{perm}_k \frac{1}{n} J_n \left(= \binom{n}{k}^2 \frac{k!}{n^k} \right)$$

for all $k = 1, \ldots, n$.



History

- In 1979 Friedland showed the lower bound perm C ≥ e⁻ⁿ for any C ∈ Ω_n following T. Bang's announcement 1976. This settled the conjecture of Erdös-Rényi on the exponential growth of the number of perfect matchings in d ≥ 3-regular bipartite graphs 1968, Voorhoeve 1979.
- van der Waerden permanent conjecture was proved by Egorichev and Falikman 1981.
- Tverberg conjecture was proved by Friedland 1982
- 79 proof is tour de force according to Bang
- 81 proofs involve directly (Egorichev) and indirectly (Falikman) use of Alexandroff mixed volume inequalities with the conditions for the extremal matrix
- 82 proof uses methods of 81 proofs with extra ingredients
- There are new simple proofs using nonnegative hyperbolic polynomials e.g. Friedland-Gurvits 2008

Lower matching bounds for bipartite graphs

Voorhoeve-1979 (r = 3) Schrijver-1998

$$\phi(n,G) \ge \left(\frac{(r-1)^{r-1}}{r^{r-2}}\right)^n$$
 for $G \in \mathcal{GB}(r,2n)$

Gurvits 2006: $A \in \Omega_n$, each column has at most r nonzero entries:

perm
$$A \ge \frac{r!}{r^r} \left(\frac{r}{r-1}\right)^{r(r-1)} \left(\frac{r-1}{r}\right)^{(r-1)n}$$
.

Cor:
$$\phi(n,G) \ge \frac{r!}{r^r} \left(\frac{r}{r-1}\right)^{r(r-1)} \left(\frac{(r-1)^{r-1}}{r^{r-2}}\right)^n$$

Con FKM 2006:
$$\phi(k,G) \ge \binom{n}{k}^2 (\frac{nr-k}{nr})^{nr-k} (\frac{kr}{n})^k$$
, $G \in \mathcal{GB}(r,2n)$

F-G 2008 showed weaker inequalities



Lower asymptotic bounds Friedland-Gurvits 2008

Thm: $r \ge 3$, $s \ge 1$ integers, $B_n \in \Omega_n$, $n = 1, 2, \ldots$ each column of B_n has at most r-nonzero entries. $k_n \in [0, n] \cap \mathbb{N}$, $n = 1, 2, \ldots, \lim_{n \to \infty} \frac{k_n}{n} = p \in (0, 1]$ then

$$\liminf_{n \to \infty} \frac{\log \operatorname{perm}_{k_n} B_n}{2n} \geqslant \frac{1}{2} \left(-p \log p - 2(1-p) \log(1-p) \right) + \frac{1}{2} (r+s-1) \log(1-\frac{1}{r+s}) - \frac{1}{2} (s-1+p) \log(1-\frac{1-p}{s})$$

Prf combines properties positive hyperbolic polynomials, capacity and the measure on $\mathcal{G}(r,2n)$

- Cor: r-ALMC holds for $p_s = \frac{r}{r+s}$, s = 0, 1, ...,
- Con: under Thm assumptions

$$\liminf_{n\to\infty} \frac{\log \operatorname{perm}_{k_n} B_n}{2n} \geqslant f(r,p) - \frac{p}{2} \log r$$

• For $p_s = \frac{r}{r+s}$, s = 0, 1, ..., conjecture holds



Lower bounds for matchings in regular non-bipartite graphs

Petersen's THM: A bridgeless cubic graph has a perfect match

Problem: Find the minimum of the biggest match in G(r, 2n) for r > 2.

Does every $G \in \mathcal{G}(r,2n)$ has a match of size $\lfloor \frac{2n}{3} \rfloor$? (True for r=2.)

Esperet-Kardos-King-Král-Norine:

Every cubic bridgeless graph has at least $2^{\frac{|V|}{3656}}$ perfect matchings

An analog the van der Waerden conjecture

THM Edmonds 1965: A symmetric doubly stochastic matrix with zero diagonal of even order $A = [a_{ij}]_{i,j=1}^{2n}$ is a convex combination of symmetric permutation matrices with zero diagonal if and only if $\sum_{i,j\in S} a_{ij} \leq |S| - 1$ for any odd subset $S \subset \{1,\ldots,2n\}$ (*)

Denote by Ψ_{2n} the subset of all symmetric doubly stochastic matrices of the above form

Problem: Find min haf(A), $A \in \Psi_{2n}$

CONJECTURE: The minimum is achieved only for the matrix $\frac{1}{2n-1}A(K_{2n})$

$$\mathrm{haf}(\frac{1}{2n-1}A(\mathcal{K}_{2n})) pprox \mathrm{e}^{-n}\sqrt{2\mathrm{e}} < \mathrm{haf}(\frac{1}{n}A(\mathcal{K}_{n,n})) pprox \mathrm{e}^{-n}\sqrt{2\pi n}$$



An analog the Tverberg conjecture

$$\min_{A \in \Psi_{2n}} \operatorname{haf}_k(A) = \operatorname{haf}_k(\frac{1}{2n-1}A(K_{2n})) =$$

$$\binom{2n}{2k} \frac{1}{(2n-1)^k} \frac{(2k)!}{2^k k!}$$

Note $\frac{1}{3}A(G) \in \Psi_{2n}$, $G \in \mathcal{G}(3,2n)$ iff there are at least 3 edges coming out of any the set of odd number of vertices (Improves significantly the lower bound [5] if gen. v.d. Waerden conj. true)

Hyperbolic polynomials

THM: Good lower bounds hold for $haf_k(A)$ if $A \in \Psi_{2n}$ n-1 n-1 eigenvalues of A are nonpositive

Outline of proof: Fact $\mathbf{x}^{\top} A \mathbf{x}$ is a hyperbolic polynomial for a nonnegative symmetric matrix iff A has all but one nonpositive eigenvalues [4]

$$\mathrm{haf}_k A = (2^k k!)^{-1} \sum_{1 \leq i_1 < \ldots < i_{2k} \leq 2n} \frac{\partial^{2k}}{\partial x_{i_1} \ldots \partial x_{i_{2k}}} (\mathbf{x}^{\top} A \mathbf{x})^k$$

Use the arguments of [1] to show

haf
$$A \ge \left(\frac{n-1}{n}\right)^{(n-1)n} \ge e^{-n}$$



Probabilistic Methods I

$$A = [a_{ij}] \in \mathbb{R}_+^{n \times n}, X(A) := [\sqrt{a_{ij}}x_{ij}],$$

 x_{ij} independent random variables $E(x_{ij}) = 0, E(x_{ij}^2) = 1$
 $E((\det X(A))^2) = \operatorname{perm} A.$ Godsil-Gutman 1981

Concentration results

- A. Barvinok 1999 -
- 1. x_{ij} real Gaussian \Rightarrow det $X(A)^2$ with high probability $\in [c^n \text{ perm } A, \text{ perm } A] c \approx 0.28$
- 2. x_{ij} complex Gaussian $E(|x_{ij}|^2) = 1 \Rightarrow |\det X(A)|^2$ with high probability $\in [c^n \text{ perm } A, \text{ perm } A] \ c \approx 0.56$
- 3. x_{ij} quaternion Gaussian $E(|x_{ij}|^2) = 1 \Rightarrow |\det X(A)|^2$ with high probability $\in [c^n \operatorname{perm} A, \operatorname{perm} A] \ c \approx 0.76$

Friedland-Rider-Zeitouni 2004:

 $0 < a \le a_{ij} \le b$, x_{ij} real Gaussian \Rightarrow det $X(A)^2$ with high probability $\in [(1 - \varepsilon_n) \operatorname{perm} A, \operatorname{perm} A] \varepsilon_n \to 0$

Probabilistic Methods II

FRZ results use concentration for
$$\log_{\varepsilon} \det Z(A) = \operatorname{tr} f(Z(A))$$
, $Z(A) = X(A)^{\top} X(A) \succeq 0, f = \log_{\varepsilon} x = \log \max(x, \varepsilon)$. or $\log_{\varepsilon} \det Y(A), \ Y(A) = \begin{bmatrix} 0 & X(A) \\ -X(A)^{\top} & 0 \end{bmatrix}$

 $E(\det(\sqrt{t}I + Y(A))) = \Phi_{G_w}(t), t \ge 0$ matching polynomial for weighted graph induced by A

Thm: Concentration of
$$\log \det(\sqrt{t}I + Y(A))$$
 around expected value $\log \tilde{\Phi}_{G_w}(t), t > 0$ which less $\log \Phi_{G_w}(t)$
$$\frac{1}{n} \log \tilde{\Phi}(t, G_\omega) \leq \frac{1}{n} \log \Phi(t, G_\omega) \leq \frac{1}{n} \log \tilde{\Phi}(t, G_\omega) + \min(\frac{\max_{i,j} |a_{ij}|}{2t}, 1.271)$$

Meaning of $\tilde{\Phi}_{G_w}(t)$?



Prob. Methods III-Matching in nonbipartite graphs

Make each undirected edge (i,j) with weight $a_{ij} = a_{ji} \ge 0$ to two opposite directed edges with weights $\pm a_{ij}$ to obtain a skew symmetric matrix

$$B = [b_{ij}] \in \mathbb{R}^{(2n) \times (2n)}, \, b_{ii} = 0$$

$$Y(B) = [sign(b_{ij}) \sqrt{|b_{ij}|}x_{ij}], x_{ij} = x_{ji}, x_{12}, \dots, x_{(2n-1),(2n)} i.r.v$$

 $E(x_{ij}) = 0, E(x_{ij}^2) = 1$
 $E(\det Y(B)) = haf A$ - total weight of weighted matchings in induced graph by A

 $E(\det(\sqrt{t}I + Y(B))) = \Phi_{G_w}(t)$ - the weighted matching polynomial of G(A).

All the results for bipartite graphs carry over to nonbipatite graphs



Prob. Methods IV- FPRAS

Jerrum-Sinclair-Vigoda 2004: fully polynomial randomized approximation scheme (fpras) to compute perm *A*A variation of MCMC method using rapidly mixed Markov chains converging to equilibrium point

The proofs do not carry over for nonbipartite graphs

Any #P complete problem has fpras?

Expected values of *k*-matchings for bipartite graphs

- Permutation $\sigma: \langle nr \rangle \to \langle nr \rangle$ induces $G(\sigma) \in \mathcal{GB}_{\mathrm{mult}}(r, 2n)$ and vice versa $G(\sigma) = \{(i, \lceil \frac{\sigma((i-1)r+j)}{r} \rceil), \ j=1,\ldots,r, \ i=1,\ldots,n\} \subset \langle n \rangle \times \langle n \rangle$ number of different σ inducing the same simple G is $(r!)^n$
- μ probability measure on $\mathcal{GB}_{\text{mult}}(r, 2n)$: $\mu(G(\sigma)) = ((nr)!)^{-1}$
- FKM 06: $E(k, n, r) := E(\phi(k, G)) = \binom{n}{k}^2 r^{2k} k! (nr - k)! (nr)!)^{-1},$ $k = 1, \dots, n$
- 1 $\leq k_l \leq n_l, l = 1, ...,$ increasing sequences of integers s.t. $\lim_{l \to \infty} \frac{k_l}{n_l} = p \in [0, 1].$ Then

$$\lim_{l\to\infty}\frac{\log E(k_l,n_l,r)}{2n_k}=f(p,r)$$

$$f(p,r) := \frac{1}{2}(p\log r - p\log p - 2(1-p)\log(1-p) + (r-p)\log(1-\frac{p}{r}))$$

Similar results for non-bipartite graphs?



R.J. Baxter, Dimers on a rectangular lattice, J. Math. Phys. 9 (1968), 650–654.



L.M. Bregman, Some properties of nonnegative matrices and their permanents, *Soviet Math. Dokl.* 14 (1973), 945-949.



G.P. Egorichev, Proof of the van der Waerden conjecture for permanents, Siberian Math. J. 22 (1981), 854–859.



P. Erdös and A. Rényi, On random matrices, II, Studia Math. Hungar. 3 (1968), 459-464.



L. Esperet, F. Kardos, A. King, D. Kral and S. Norine, Exponentially many perfect matchings in cubic graphs, arXiv:1012.2878.



D.I. Falikman, Proof of the van der Waerden conjecture regarding the permanent of doubly stochastic matrix, *Math. Notes Acad. Sci. USSR* 29 (1981), 475–479.



M.E. Fisher, Statistical mechanics of dimers on a plane lattice, *Phys. Rev.* 124 (1961), 1664–1672.



R.H. Fowler and G.S. Rushbrooke, Statistical theory of perfect solutions, *Trans. Faraday Soc.* 33 (1937), 1272–1294.



S. Friedland, A lower bound for the permanent of doubly stochastic matrices, *Ann. of Math.* 110 (1979), 167-176.



S. Friedland, A proof of a generalized van der Waerden conjecture on permanents, *Lin. Multilin. Algebra* 11 (1982), 107–120.



S. Friedland, FPRAS for computing a lower bound for weighted matching polynomial of graphs, arXiv:cs/0703029.



S. Friedland and L. Gurvits, Generalized Friedland-Tverberg inequality: applications and extensions, arXiv:math/0603410v2.



S. Friedland and L. Gurvits, Lower bounds for partial matchings in regular bipartite graphs and applications to the monomer-dimer entropy, *Combinatorics, Probability and Computing*, 2008, 15pp.



S. Friedland, E. Krop, P.H. Lundow and K. Markström, Validations of the Asymptotic Matching Conjectures, *Journal of Statistical Physics*, 133 (2008), 513-533, arXiv:math/0603001v3.



S. Friedland, E. Krop and K. Markström, On the Number of Matchings in Regular Graphs, *The Electronic Journal of Combinatorics*, 15 (2008), #R110, 1-28, arXiv:0801.2256v1 [math.Co] 15 Jan 2008.



S. Friedland and U.N. Peled, Theory of Computation of Multidimensional Entropy with an Application to the Monomer-Dimer Problem, *Advances of Applied Math.* 34(2005), 486-522.



L. Gurvits, Hyperbolic polynomials approach to van der Waerden/Schrijver-Valiant like conjectures, STOC'06: Proceedings of the 38th Annual ACM Symposium on Theory of Computing, 417–426, ACM, New York, 2006.



J. Hammersley and V. Menon, A lower bound for the monomer-dimer problem, *J. Inst. Math. Applic.* 6 (1970), 341–364.



O.J. Heilmann and E.H. Lieb, Theory of monomer-dimer systems., *Comm. Math. Phys.* 25 (1972), 190–232.



P.W. Kasteleyn, The statistics of dimers on a lattice, *Physica* 27 (1961), 1209–1225.



L. Lovász and M.D. Plummer, *Matching Theory*, North-Holland Mathematical Studies, vol. 121, North-Holland, Amsterdam, 1986.



P.H. Lundow, Compression of transfer matrices, *Discrete Math.* 231 (2001), 321–329.



- L. Pauling, J. Amer. Chem. Soc. 57 (1935), 2680-.
- J. Radhakrishnan, An Entropy Proof of Bregman's Theorem, J. Combin. Theory Ser. A 77 (1997), 161-164.
- A. Schrijver, Counting 1-factors in regular bipartite graphs, *J. Comb. Theory* B 72 (1998), 122–135.
- H. Tverberg, On the permanent of bistochastic matrix, Math. Scand. 12 (1963), 25-35.
- L.G. Valiant, The complexity of computing the permanent, *Theoretical Computer Science* 8 (1979), 189-201.
- M. Voorhoeve, A lower bound for the permanents of certain (0,1)-matrices, Neder. Akad. Wetensch. Indag. Math. 41 (1979), 83-86.
- B.L. van der Waerden, Aufgabe 45, Jber Deutsch. Math.-Vrein. 35 (1926), 117.